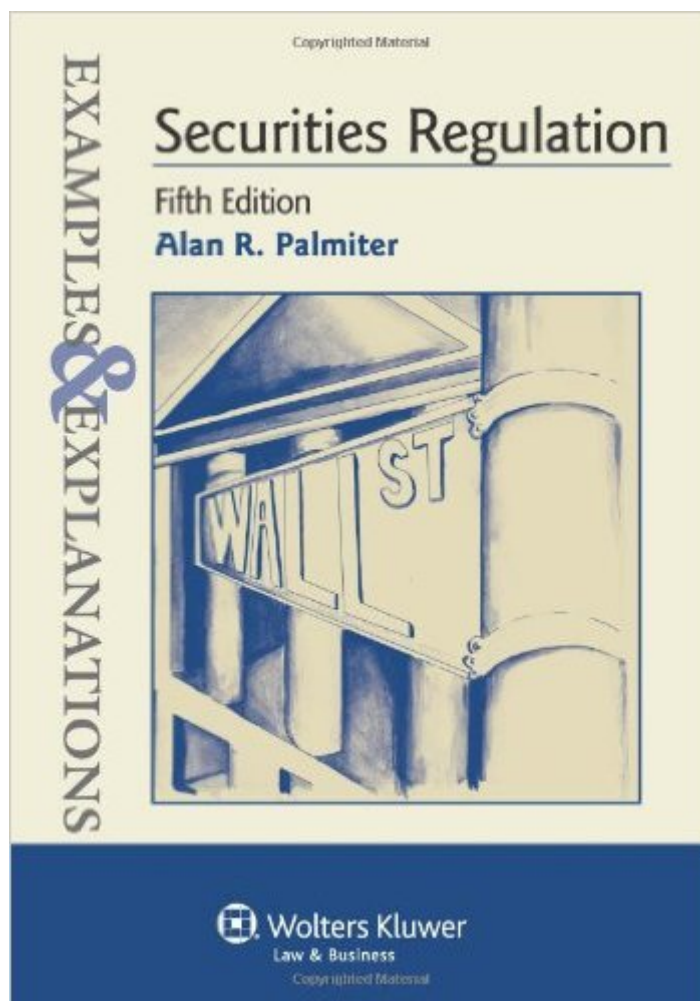


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# Securities Regulation: Examples & Explanations, 5th Edition



## Synopsis

Informal and student-friendly, *Securities Regulation* combines clear introductions with examples and explanations that test students' understanding of concepts and give them practice applying the law to fact patterns; many drawn from current events. Classroom-tested over five editions, this popular study guide explains the basics of securities regulation and then proceeds in more detail on specific topics. In the Fifth Edition, new examples are drawn from news stories such as the Bernie Madoff Ponzi scheme and the subprime mortgage crisis. This revision also addresses financial reform legislation and recent Supreme Court rulings concerning the statute of limitations, the liability of secondary actors, and jurisdiction over foreign fraud. *Securities Regulation: Examples & Explanations* features: basic coverage of the full range of securities regulation topics examples drawn from current events, such as public offerings by Google, the roles of lawyers and accountants in Enron, and fraud litigation involving mortgage-backed derivatives the proven-effective *Examples & Explanations* series pedagogy Updated throughout, the Fifth Edition provides: recent Supreme Court cases *Merck & Co. v. Reynolds*, on statute of limitations *Stoneridge v. Scientific-Atlanta*, on liability of secondary actors *Morrison v. National Australia Bank*, on jurisdiction over foreign fraud an overview of new securities reforms credit rating agency regulation and liability pay clawbacks after financial restatements regulation of hedge funds and private equity funds expanded ESEC enforcement powers additional charts and diagrams that summarize the Dodd-Frank law, 10b-5 procedures, and foreign jurisdiction cases new and updated examples and explanations based on the Madoff scheme, fraud in selling mortgage-backed securities, and liability for inaccurate credit ratings

## Book Information

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## Customer Reviews

This is my first ever book review, so you already know that I feel strongly -- one way or another -- about this product. The simple fact is that if you're in securities regulation, you're going to need this book. The securities laws are intricate and interconnect; seeing those connections and being able to apply them to fact sets -- the bread and butter of any traditional law school exam -- is what this book gives you. I cannot recommend this E&E highly enough. I leaned on it heavily throughout a SecReg course at my law school (A top 10) and got an A. I suppose my only gripe with the book is that I didn't feel it's 10b-5 examples were deep enough. But, given how good the transactional chapters were (offerings, private transactions, resales), this is a small gripe and one probably muted by the fact that Corporations classes typically spend a large amount of time on 10b-5. Get this book.

This is SUCH a great supplement. Very clear, much unlike my primary casebook for the class. The author does a great job of his "plain English" approach and is able to explain difficult concepts in a very straightforward way. If you need a great amount of detail, this probably won't do that for you. But it was a lifesaver to get me through class and ready for the final. When studying this topic, in particular, it is easy to lose the forest for the trees. This book brings you back to the perspective you need to get the big picture.

This text is a great help in my Securities Regulation class. It provides a good breakdown of the important parts of the Securities Acts and other legislation in a way that is easy to read. It also contains some very helpful charts that are easily copied into an outline for the course, in preparation for the exam. I relied on this book much more than my casebook, but that was only because my professor does not use the Socratic method. If your professor does, this book CANNOT be a substitute for the casebook.

This book is in a clean and great condition. Fee for semester rent is reasonable. And everyone taking SR should just get this one instead of the heavy hard cover casebook!

This book is ideal for anyone who is in the securities industry and wants to know more about regulation of this industry. Written clearly and concise.

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